

FORM PTO-1449  
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.:  
BBRI-2006SERIAL NO.:  
09/992,800LIST OF ART CITED BY APPLICANT  
(Use several sheets if necessary)

APPLICANT: Victor Raso

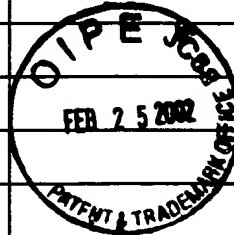
FILING DATE:  
November 6, 2001

GROUP:

1652

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
CH	AA	5,439,812	8-Aug-95	Benkovic et al.	435	109	
CH	AB	6,043,069	28-Mar-00	Koentgen et al.	435	189.5	
	AC						
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	AJ						
	AK						



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## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
CH	AL	WO 99/27944	10-Jun-99	WO	—	—	
CH	AM	WO 99/06066	11-Feb-99	WO	—	—	
	AN						

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

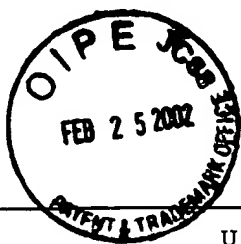
CH	AR	Hardy, J., Nature Genetics 1: 233-234 (1992).
CH	AS	Scheuner et al., Nature Med. 2: 864-870 (1996).
CH	AT	Kowall et al., Proc. Natl. Acad. Sci. USA 88: 7247-7251 (1991).

EXAMINER

C. Walker

DATE CONSIDERED

7/19/02



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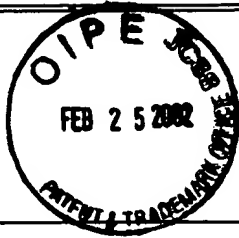
CP	AU	Gravina et al., J. of Biol. Chem. 270: 7013-7016 (1995).				
	AV	Bickel et al., Proc. Natl. Acad. Sci. USA 90: 2618-2622 (1993).				
	AW	Broadwell et al., Exp Neurol. 142: 47-65 (1996).				
	AX	Saito et al., Proc. Natl. Acad. Sci. USA 92: 10227-10231 (1995).				
	AY	Pardridge et al., Pharmaceutical Res. 12: 807-816 (1995).				
	AZ	Descamps et al., Am. J. Physiol. 270: H1149-H1158 (1996).				
	AR2	Duffy and Pardridge, Brain Res. 420: 32-38 (1987).				
	AS2	Dehouck et al., J. Cell Biol. 138: 877-889 (1997).				
	AT2	Raso et al., J. Biol. Chem. 272: 27623-27628 (1997).				
CP	AU2	Mallander and Voss, J. Biol. Chem. 269: 199-206 (1994).				

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DATE CONSIDERED

3/19/03

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## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CA	AV2	Kang and Pardridge, J. Pharm. Exp. Ther. 269: 344-350 (1994).
CA	AW2	Recht et al., J. Neurosurg. 72: 941-945 (1990).
BS	AX2	Solomon et al., Proc. Natl. Acad. Sci. USA 94: 4109-4112 (1997).
	AY2	
	AZ2	
	AR3	
	AS3	
	AT3	
	AU3	
	AV3	

EXAMINER

C. Hallen

DATE CONSIDERED

3/19/03

Substitute Form PTO-1449 (Modified)		US Dept. of Commerce Patent & Trademark Office		Attorney Docket No. <b>BRI-2006</b>		Serial No: 09/992,800	
<div style="display: flex; align-items: center; justify-content: center;"> <div style="border: 2px solid black; border-radius: 50%; padding: 10px; text-align: center; margin-right: 10px;"> OIP DEC 18 2002 PATENT &amp; TRADEMARK OFFICE </div> <div> Information Disclosure Statement by Applicant (Use several sheets if necessary)  (37 CFR 1.982) </div> </div>				Applicant: Victor Raso		Filing Date: November 6, 2001	
				Group: <b>1652</b>			
U.S. Patent Documents							
Examiner Initial	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date if appropriate	
	AA						
	AB						
	AC						
	AD						
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Foreign Patent or Published Foreign Patent Application							
	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No	
<i>CH</i>	AN	WO 01/62801	30-Aug-01	WO		Yes	
	AO					Yes	
	AP					Yes	
	AQ					Yes	
	AL2					Yes	
Other Documents (including Author, Title, Date, Place of Publication)							
<i>CH</i> <i>CH</i> <i>CH</i>	AY2	Holtzman and DeMattos, U.S. Provisional Application No. 60/184,601 (Priority Document for WO 01/62801), "Method to Sequester Amyloid Beta Peptide in Human Therapy."					
	AZ2	Holtzman and DeMattos, U.S. Provisional Application No. 60/254,465 (Priority Document for WO 01/62801), "Method to Sequester Amyloid Beta Peptide in Human Therapy."					
	AR3	Holtzman and DeMattos, U.S. Provisional Application No. 60/254,498 (Priority Document for WO 01/62801), "Method to Ameliorate Cognition Defects."					
Examiner <i>[Signature]</i>				Date Considered <i>7/19/03</i>			
Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Substitute Disclosure form (PTO-1449)